

Plant Compliance Visits 4th Quarter 2013

Citation	Plants Audited This Quarter	Lots Audited This Quarter	Lots with Non-Compliances				Total Non-Compliance Issues This Quarter	Unresolved Non-Compliance Issues Brought Forward	Total Non- Compliance Issues	Non-Compliance Issues Resolved This Quarter	Non-Compliance Issues Carried Forward
			1	2	3	4					
Live Cattle Reporting	39	493	0	1	3	0	4	6	10	4	6
Boxed Beef Reporting	31	155	0	1	3	0	4	0	4	0	4
Swine Reporting	28	476	0	2	3	0	5	2	7	2	5
Pork Reporting	26	100	0	0	8	5	13	5	18	13	5
Sheep Reporting	4	24	0	0	1	0	1	0	1	0	1
Lamb Reporting	5	36	0	0	1	0	1	0	1	1	0

Levels of non-compliance

- Level 1: Packer does not submit information or submits incorrect information that likely will affect the accuracy of published reports. For example, a packer continually submits the required information in an untimely manner.
- Level 2: Packer does not submit information or appears to have inadvertently submitted incorrect information that could affect the accuracy of published reports. For example, a packer reports transactions under the wrong purchase type code.
- Level 3: Packer does not submit information in compliance with applicable rules and regulation, but their submission appears to have at most an insignificant affect on the accuracy of published data. For example, a packer reports purchases made at a livestock auction, which are not covered under the Act.
- Level 4: Information submission errors normally caused by electronic or human error, usually a onetime occurrence but will remain under observation to determine if a systematic pattern develops. For example, a packer inadvertently submits the wrong number of animals involved in a transaction.