

**Plant Compliance Visits 1st Quarter 2013**

| Citation              | Plants Audited<br>This Quarter | Lots Audited<br>This Quarter | Lots with<br>Non-Compliances |   |   |   | Total Non-Compliance<br>Issues This Quarter | Unresolved Non-Compliance<br>Issues Brought Forward | Total Non-<br>Compliance Issues | Non-Compliance Issues<br>Resolved This Quarter | Non-Compliance<br>Issues Carried<br>Forward |
|-----------------------|--------------------------------|------------------------------|------------------------------|---|---|---|---------------------------------------------|-----------------------------------------------------|---------------------------------|------------------------------------------------|---------------------------------------------|
|                       |                                |                              | 1                            | 2 | 3 | 4 |                                             |                                                     |                                 |                                                |                                             |
| Live Cattle Reporting | 17                             | 332                          | 0                            | 8 | 2 | 1 | 11                                          | 5                                                   | 16                              | 9                                              | 7                                           |
| Boxed Beef Reporting  | 20                             | 100                          | 0                            | 0 | 1 | 0 | 1                                           | 2                                                   | 3                               | 2                                              | 1                                           |
| Swine Reporting       | 17                             | 348                          | 0                            | 0 | 3 | 0 | 3                                           | 0                                                   | 3                               | 3                                              | 0                                           |
| Sheep Reporting       | 5                              | 71                           | 0                            | 0 | 0 | 0 | 0                                           | 0                                                   | 0                               | 0                                              | 0                                           |
| Lamb Reporting        | 10                             | 110                          | 0                            | 1 | 0 | 1 | 2                                           | 0                                                   | 2                               | 2                                              | 0                                           |

**Levels of non-compliance**

Level 1: Packer does not submit information or submits incorrect information that likely will affect the accuracy of published reports. For example, a packer continually submits the required information in an untimely manner.

Level 2: Packer does not submit information or appears to have inadvertently submitted incorrect information that could affect the accuracy of published reports. For example, a packer reports transactions under the wrong purchase type code.

Level 3: Packer does not submit information in compliance with applicable rules and regulation, but their submission appears to have at most an insignificant affect on the accuracy of published data. For example, a packer reports purchases made at a livestock auction, which are not covered under the Act.

Level 4: Information submission errors normally caused by electronic or human error, usually a onetime occurrence but will remain under observation to determine if a systematic pattern develops. For example, a packer inadvertently submits the wrong number of animals involved in a transaction.